



Form PTO-1449 (Rev. 6-98)

U.S. Department of Commerce  
Patent and Trademark OfficeAttorney Docket No.  
48452-048Serial No.  
09/704,050Applicant  
Robert S. Phillips et al.Filing Date  
November 1, 2000Group Art Unit  
2154INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number							Date	Name	Class	Sub Class
act	4	0	9	6	5	6	7	6/20/78	Millard et al.		
↑	4	4	2	6	6	8	8	1/17/84	Moxley		
	4	7	1	0	9	2	6	12/1/87	Brown et al.		
	4	8	6	8	7	3	8	9/19/89	Kish et al.		
	4	9	1	4	5	7	1	4/3/90	Baratz et al.		
	4	9	3	4	7	6	4	6/19/90	Leitermann et al.		
	5	0	5	5	9	9	9	10/8/91	Frank et al.		
	5	0	6	7	0	7	1	11/19/91	Schanin et al.		
	5	0	7	7	7	3	6	12/31/91	Dunphy, Jr., et al.		
	5	1	1	7	3	5	0	5/26/92	Parrish et al.		
	5	1	1	9	4	8	1	6/2/92	Frank et al.		
	5	2	2	6	0	3	9	7/6/93	Frank et al.		
	5	2	3	7	6	7	3	8/17/93	Orbits et al.		
	5	2	4	5	5	6	3	9/14/93	Hauck, Jr.		
	5	2	4	7	6	7	3	9/21/93	Costa et al.		
✓	5	2	5	1	3	0	8	10/5/93	Frank et al.		
act	5	2	6	9	0	1	3	12/7/93	Abramson et al.		

Examiner

Alan Jan [Signature]

Date Considered

6/8/05

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<i>WJE</i>	5	2	7	4	7	8	9	12/28/93	Costa et al.		
<i>WJE</i>	5	2	7	6	8	5	2	1/4/94	Callander et al.		
	5	2	8	2	2	0	1	1/25/94	Frank et al.		
	5	2	9	7	2	6	5	3/22/94	Frank et al.		
	5	2	9	7	2	6	9	3/22/94	Donaldson et al.		
	5	3	0	3	3	6	2	4/12/94	Butts, Jr. et al.		
	5	3	0	7	5	0	6	4/26/94	Colwell et al.		
	5	3	1	3	6	4	7	5/17/94	Kaufman et al.		
	5	3	3	5	3	2	5	8/2/94	Frank et al.		
	5	3	4	1	4	8	3	8/23/94	Frank et al.		
	5	3	9	0	3	1	6	2/14/95	Cramer et al.		
	5	3	9	0	3	2	6	2/14/95	Shah		
	5	3	9	4	5	5	5	2/28/95	Hunter et al.		
	5	4	0	8	6	4	9	4/18/95	Beshears et al.		
	5	4	2	3	0	3	7	6/6/95	Hvasshovd		
	5	4	5	2	4	4	7	9/19/95	Nelson et al.		
	5	4	8	3	6	5	2	1/9/96	Sudama et al.		
	5	4	8	5	4	5	5	1/16/96	Dobbins et al.		
<i>WJE</i>	5	4	9	0	2	7	2	2/6/96	Mathias et al.		

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*Wen-Jan Li*

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<i>cut</i>	5	4	9	3	7	2	8	2/20/96	Solton et al.		
<i>↑</i>	5	5	1	3	3	1	4	4/30/96	Kandasamy et al.		
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	5	5	8	8	1	4	7	12/24/96	Neeman et al.		
	5	5	9	2	6	2	5	1/7/97	Sandberg		
	5	6	0	8	9	0	3	3/4/97	Prasad et al.		
	5	6	1	3	0	7	9	3/18/97	Debique		
	5	6	1	7	5	3	7	4/1/97	Yamada et al.		
	5	6	3	4	0	0	4	5/27/97	Gopinath et al.		
	5	6	4	9	1	9	4	7/15/97	Miller et al.		
	5	6	7	5	7	8	7	10/7/97	Miller et al.		
	5	6	8	7	3	0	8	11/11/97	Jardine et al.		
<i>✓</i>	5	6	8	9	7	0	0	11/18/97	Miller et al.		
<i>cut</i>	5	6	9	2	1	8	3	11/25/97	Hapner et al.		

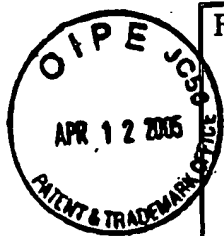
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*Wen-Jai Lin*

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WSE	5	6	9	2	1	9	3	11/25/97	Jagannathan et al.		
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	5	7	2	7	1	5	0	3/10/98	Laudon et al.		
	5	7	2	7	2	0	3	3/10/98	Hapner et al.		
	5	7	5	8	3	4	3	5/26/98	Vigil et al.		
	5	7	6	8	5	1	0	6/16/98	Gish		
	5	7	8	1	5	3	7	7/14/98	Ramaswami et al.		
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	5	8	0	5	7	8	6	9/8/98	Badovinatz et al.		
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	5	8	3	2	5	1	4	11/3/98	Norin et al.		
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	5	9	3	3	8	2	6	8/3/99	Ferguson et al.		
✓	6	1	1	5	5	4	9	9/5/00	Janis et al.		
WSE	6	1	4	8	3	7	7	11/14/00	Carter et al.		

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Allen Jan 12

6/8/25

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## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub Class	Translation	
						Yes	No
UAL	0 661 651 A1	7/5/95	EPO			Y	
↑	0 288 636 A2	11/2/88	EPO			Y	
	2 257 273 A	1/6/93	GB			Y	
	96/30847	10/3/96	WO			Y	
	96/23268	8/1/96	WO			Y	
	95/25306	9/21/95	WO			Y	
	95/22111	8/17/95	WO			Y	
↓	95/14279	5/26/95	WO			Y	
UAL	95/02307	1/19/95	WO			Y	

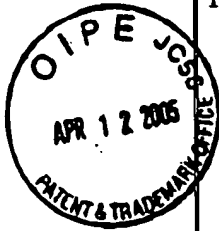
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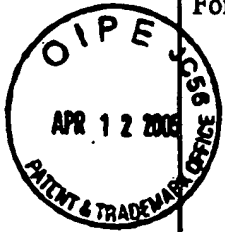


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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
Wte	"An Argument for Simple COMA," Ashely Saulsbury et al., <i>Proceedings of the First Annual Symposium on High Performance Computer Architecture</i> , Jan. 1995, pp. 276-285		
↑	"Design of the Trucluster Multicomputer System for the Digital UNIX Environment," Wayne M. Cardoza et al., <i>Digital Technical Journal</i> , Vol. 8, No. 1 (1996) pp. 5-17		
	"Java Dynamic Class Loader," IBM Technical Disclosure Bulletin, Vol. 39, No. 11, November 1996, pgs. 107-108.		
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	"Software Agents," Genesereth et al., <i>Communications of the ACM</i> , July 1994, vol. 37, No. 7, pages 48-53.		
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	"Synapse Tightly Coupled Multiprocessors: A New Approach to Solve Old Problems," Steven J. Frank et al., <i>AFIPS National Computer Conference Proceedings</i> , July 1984, pp. 41-50		
	"The KSR1: Bringing the Gap Between Shared Memory and MPP's," Steven J. Frank et al., <i>IEEE Digest of Papers- Compcon '93</i> , Feb. 1993, pp. 285-294.		
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	Anderson et al. "Scheduler Activations: Effective Kernel Support for the User-Level Management of Parallelism," <i>Operating Systems Review (SIGOPS)</i> , Vol. 25, No. 1, January 1, 1991, pgs. 95-109.		
↓	Anderson, T., et al. "Serverless Network File Systems," <i>Operating Systems Review (SIGOPS)</i> , Vol. 29, No. 5, December 1, 1995, pgs. 109-126.		
cut	Barton-Davis et al. "Adding Scheduler Activations to Mach 3," <i>Proceedings of the USENIX Mach III Symposium</i> , Santa FE, NM, USA, April 19-21, 1993, pgs. 119-136.		
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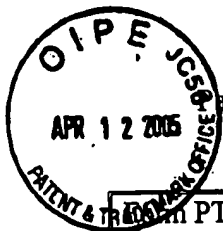


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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
cutl	Carter, J., et al. "PPFS: A High Performance Portable Parallel File System," Proceeding of the International Conference on Supercomputing, Barcelona, July 3-7, 1995, pgs. 385-394.		
↑	Carter, J.B. et al., "Distributed Operating Systems Based on a Protected Global Virtual Address Space," In the Proceedings of the Third Workshop on Workstation Operating Systems (WWOS) (May, 1992)		
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cutl	Enterprise Networking Web Page, <a href="http://www.lanache.com/enad1.html">http://www.lanache.com/enad1.html</a> , printed on May 20, 1997.		
	<del>European Patent Office, European Search Report, Application No. EP 97 30 9471, mailed on April 23, 1998, 3 pages.</del>		
cutl	Henskens et al. "Course and Fine Grain Objects in a Distributed Persistent Store," <i>Object Orientation in Operating Systems</i> , 1993, IEEE, pgs. 116-123.		
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
WAL	Koppe C. "Sleeping Threads: A Kernel Mechanism for Support of Efficient User Level Threads," <i>Proceedings of Seventh IASTED-ISMM International Conference on Parallel and Distribution Computing and Systems</i> , Washington, DC, USA, October 19-21, 1995, pgs. 11-15.		
↑	Lea et al. "COOL: System Support for Distributed Programming," <i>Communications of the ACM</i> , Vol. 36, No. 9, pgs. 37-46.		
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✓	Network Appliance, Inc. Web page, <a href="http://www.netapp.com/products/level3/nfs.html">http://www.netapp.com/products/level3/nfs.html</a> , printed on May 22, 1997.		
WAL	Network Appliance, Inc. Web page, <a href="http://www.netapp.com/products/level3/ontap.html">http://www.netapp.com/products/level3/ontap.html</a> , printed on May 22, 1997.		
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WAL	Ng, T. "The Design and Implementation of a Reliable Distributed Operating System - ROSE," <i>Proceedings of the Symposium on Reliable Distributed Systems</i> , Huntsville, October 9-11, 1990, pgs. 2-11.		
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Alan-Jai L.		6/8/05	
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<i>WAE</i>	Patent Cooperation Treaty International Search Report, International Application No. PCT/US 97/21457, mailed on April 23, 1998, 4 pages.		
↑	Patent Cooperation Treaty International Search Report, International Application No. PCT/US 97/21733, mailed on April 23, 1998, 3 pages.		
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↓	Sequel Technology Web Page, <a href="http://www.sequeltech.com/product/netPIM/prodinfo.html">http://www.sequeltech.com/product/netPIM/prodinfo.html</a> , printed on May 22, 1997.		
<i>WAE</i>	Stac, Inc. Web Page, <a href="http://www.stac.com/news/pressrel/pr-legatoagreement.html">http://www.stac.com/news/pressrel/pr-legatoagreement.html</a> , printed on June 13, 1998.		
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ufl	Tricord Systems, Inc. Web page, <a href="http://www.tricord.com/2/10/10-3-96.html">http://www.tricord.com/2/10/10-3-96.html</a> , printed on May 22, 1997.
↑	Wilson, Andrew W., "Hierachical Cache/Bus Architecture for Shared Memory Multiprocessors," ACM, pp. 244-252 (1987).
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	US-2002/0133494, 09/2002, Goedken.
↓	Slein et al., "RFC 2291", February, 1998.
cutl	Goland et al. "RFC 2518", February 1999

Examiner

Wen Jan L.

Date Considered

6/8/05

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